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PTO/SB/05 (03-01)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10173-106-999 Attorney Docket No. Total Pages UTILITY First Named Inventor or Application Identifier PATENT APPLICATION TRANSMITTAL Jean-Louis DASSEUX et al. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. **Commissioner for Patents APPLICATION ELEMENTS** P.O. Box 1450 Address to: Mail Stop PATENT APPLICATION See MPEP chapters 600 concerning utility patent application contents. Alexandria, VA 22313-1450 1. 🔯 Fee Transmittal Form [Total Pages 2] CD-ROM or CD-R in duplicate, large table or Computer Program 7. 🔲 (Appendix) (Submit an original, and a duplicate for fee processing) Nucleotide and/or Amino Acid Sequence Submission Applicant claims Small Entity status, see 37 C.F.R. § 1.27 8. 🔲 2. (if applicable, all necessary) [Total Pages 204] Specification 3. 🛛 a. Computer Readable Form (CRF) (preferred arrangement set forth below, MPEP 1503.01) b. Specification Sequence Listing on: - Descriptive title of the Invention - Cross Reference to Related Applications ii. paper - Statement Regarding Fed sponsored R&D c. Statement verifying identity of above copies - Reference to Microfiche Appendix - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention - Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) - Detailed Description of the Invention (including drawings, if filed) - Claim(s) 53 37 CFR 3.73(b) Statement 10. Power of Attorney (when there is an assignee) - Abstract of the Disclosure 11. 4. 🔲 Drawing(s) (35 USC 113) [Total Sheets English Translation Document (if applicable) Information Disclosure Copies of IDS 5. 🛛 12. п Oath or Declaration (unexecuted) [Total Sheets 2] Statement (IDS)/PTO-1449 Citations a. 🔲 Newly executed (original or copy) 13. 🔲 🕟 Preliminary Amendment Return Receipt Postcard (MPEP 503) (in duplicate) Copy from a prior application (37 CFR 1.63(d)) ь. 🔲 14. 🛛 (Should be specifically itemized) (for continuation/divisional with Box 18 completed) Certified Copy of Priority Document(s) **DELETION OF INVENTOR(S)** 15. Signed statement attached deleting inventor(s) named in the prior (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant application, see 37 CFR 1.63(d)(2) and 1.33(b). 16. 🔲 must attach form PTO/SB/35 or it's equivalent 17. 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No.: filed ☐ Continuation ☐ Divisional Group Art Unit: Prior application information: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS or

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PENNIE & EDMONDS LLP

COUNSELORS AT LAW 1667 K Street, N.W. Washington, D.C. 20006

ATTORNEY DOCKET NO. 10173-106-999

Date: December 23, 2003

Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s):

Jean-Louis DASSEUX et al.

Executed on:

Unexecuted

Title of Invention:

DIHYDROXYL COMPOUNDS AND COMPOSITIONS FOR CHOLESTEROL

MANAGEMENT AND RELATED USES

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE	
Total Claims	50	- 20	30	\$18.00 each	\$	540.00
Independent	7	- 3	4	\$86.00 each	\$	344.00
Minimum Fee						770.00
Multiple Dependency Fee If Applicable (\$290.00)					_ \$	290.00
Total						1944.00
Applicant qualifies for the 50% Reduction for Independent Inventor,						
Nonprofit Organization or Small Business Concern						
				Total Filing Fee	\$	1944.00

- DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- [X] Priority of application no.60/441,795 filed on 1/23/03 is claimed under 35 U.S.C. § 119.
- [] The certified copy of the priority application has been filed in application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Dean L. Fanelli

Reg. No. 48,907 Reg. No. 35,203

For: Anthony M. Insogna

ectfully submitted

PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.